SEP 2 8 2005

### CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on 9/22/2005.

makoniah ( , )

Ali Makoui

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application for:

Ramin Shahidi

Serial No.:

10/610,960

Filing Date:

06/30/2003

For:

METHODS AND APPARATUSES FOR

MAINTAINING A

TRAJECTORY IN STEROTAXI FOR TRACKING A TARGET

**INSIDE A BODY** 

Examiner: Eleni M. Mantis Mercader

Group Art Unit: 3737

## TRANSMITTAL LETTER FOR RESPONSE TO AN OFFICE ACTION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### Dear Examiner:

In complete response to the Office Action dated 03/22/2005, attached please find:

- 1. A Petition for Extension of Time;
- 2. An Amendment and Response to the Office Action;
- 3. Copy of Office Action for related application;
- 4. A Credit Card Payment Form; and
- 5. A Return Receipt Post Card.

PTO Serial: 10/610,960

The fee has been calculated as follows:

| FOR   | NUMBER   | NUMBER OVER<br>ALLOTMENT              |            | CALCULATIONS      |
|---|--|---------------------------------------|------------|-------------------|
| ADDED CLAIMS  | *  | *                                     | x \$50.00  | \$*               |
| ADDED INDEPENDENT<br>CLAIMS   | *  | *                                     | x \$200.00 | \$*               |
| MULTIPLE DEPENDENT  | \$*  |                                       |            |                   |
| 3 MONTH PETITION FOR EXTENSION FOR REPLY :  |  |                                       |            | \$1020.00         |
| Total from Above  |  |                                       |            | \$1020.00         |
| Reduction by 1/2 for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28).  The Applicant hereby states that it qualifies as a small entity under 37 CFR 1.27 |  |                                       |            | \$0.00            |
| हमा का कार्य का कार्यका क्रिकेट प्रशासन के प्रथम कर कर कर कर क  | a control of the cont | — — — — — — — — — — — — — — — — — — — |            | TOTAL = \$1020.00 |

The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to **Deposit Account No. 50-1128**.

Respectfully submitted,

Dated: <u>9/22/2005</u>

By:

Registration No. 45,536

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# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 2755-0003.31 2690 Ramin Shahidi 09/793,828 02/26/2001 **EXAMINER** 02/18/2005 22918 7590 PERKINS COIE LLP MILLER, CARL STUART P.O. BOX 2168 ART UNIT PAPER NUMBER MENLO PARK, CA 94026 3747

**DATE MAILED: 02/18/2005** 

Please find below and/or attached an Office communication concerning this application or proceeding.

|  | Application No.  | Applicant(s)               |  |  |  |
|--|--|----------------------------|--|--|--|
| Office Action Summers  | 09/793,828   | SHAHIDI, RAMIN             |  |  |  |
| Office Action Summary  | Examiner   | Art Unit                   |  |  |  |
|  | Carl S. Miller   | 3747                       |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply   |  |                            |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |  |                            |  |  |  |
| Status   |  |                            |  |  |  |
| 1) Responsive to communication(s) filed on 29 November 2004.   |  |                            |  |  |  |
|  |  |                            |  |  |  |
| 3) Since this application is in condition for allowan  | 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is |                            |  |  |  |
| closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  |  |                            |  |  |  |
| Disposition of Claims  |  |                            |  |  |  |
| 4)⊠ Claim(s) <u>1-8</u> is/are pending in the application.   | 4) Claim(s) 1-8 is/are pending in the application.   |                            |  |  |  |
| 4a) Of the above claim(s) <u>1-4</u> is/are withdrawn from consideration.  |  |                            |  |  |  |
| 5) Claim(s) is/are allowed.  |  |                            |  |  |  |
| 6)⊠ Claim(s) <u>5-8</u> is/are rejected.   |  | •                          |  |  |  |
| 7) Claim(s) is/are objected to.  |  | •                          |  |  |  |
| 8) Claim(s) are subject to restriction and/or  | election requirement.  |                            |  |  |  |
| Application Papers   |  |                            |  |  |  |
| 9) The specification is objected to by the Examiner.   |  |                            |  |  |  |
| 10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.  |  |                            |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  |  |                            |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).   |  |                            |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.   |  |                            |  |  |  |
| Priority under 35 U.S.C. § 119   | •  |                            |  |  |  |
| <ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> </ul>   |  |                            |  |  |  |
| 2. Certified copies of the priority documents have been received in Application No   |  |                            |  |  |  |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage  |  |                            |  |  |  |
| application from the International Bureau (PCT Rule 17.2(a)).  |  |                            |  |  |  |
| * See the attached detailed Office action for a list of the certified copies not received.   |  |                            |  |  |  |
| Attachment(s)  |  |                            |  |  |  |
| Notice of References Cited (PTO-892)   | 4) Interview Summary (I  | PTO-413)                   |  |  |  |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  | Paper No(s)/Mail Date  | e                          |  |  |  |
| B) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date   | 5) Notice of Informal Par<br>6) Other:   | tent Application (PTO-152) |  |  |  |

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Claims 1-4 remain withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected species, there being no allowable generic or linking claim. Election was made without traverse in Paper No. 6.

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 5-8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Salcudean in view of Chen ('561) and Paltieli.

Salcudean teaches and imaging positioning means which uses a transducer that is moved by a robot arm. The arm can be repositioned continuously by a computer in order to track the movement of a second moving target such as a needle (see column 11, line 39 thru column 12, line 9). The applicant will note that the identified passages teach an embodiment wherein the manual input to the device is either minimized or completely eliminated. Also, a general scan is used to begin the tracking process. Further, the robot arm used to position the transducer is placed on the tissue and the force is monitored to keep it constant. What is missing from Salcudean is the exact method of how the arm is moved to track movement.

Chen teaches that it was known in the art to produce 3-D preliminary scan images using a computer and a series of 2-D images. These images could be produced using an ultrasound device (column 12, lines 9-15). Most importantly. Chen

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teaches the use of patient markers. This type of patient scan is precisely what applicant argues is not found in Salcudean.

Paltieli teaches a transducer imaging device which is placed against tissue in order to create an image used to track a needle or other surgical instrument. The instrument itself is repositioned using a method basically identical to applicant's method. While the transducer is not clearly identified as moving (although this is somewhat unclear since its position is constantly tracked and its coordinates fed into the computer), the program takes into consideration the movement of both devices in order to track the instrument and electronically position the robotic arm of the instrument.

It would have been obvious to modify Salcudean by positioning the robot arm of the imaging transducer as taught by Paltieli because this was known to be an effective method of repositioning any surgical or imaging device in order to aid in tracking a surgical procedure and Salcudean has already taught the need to reposition the transducer during the procedure in order to create the best possible imaging.

Also, it would have been obvious to produce the scans noted by Salcudean as taught by Chen because such scans were obviously well known in the art and the patient markers would have been needed to coordinate the imaging and robotic cam system.

Applicant's arguments filed October 29, 2004 have been fully considered but they are not persuasive. In particular, as noted in the above rejection, the applicant argues that 3-D images produced by preliminary scans of the patient's body were not known to include patient markers.

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As Chen clearly shows, this <u>was</u> well known in the art and such markers are probably only absent from the Salcudean disclosure because they were so well known.

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Carl Miller whose telephone number is (571) 272-4849. The examiner can normally be reached on MTWTHF.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Henry Yuen, can be reached at 571-272-4856. The fax phone number for the organization where this application or proceeding is assigned is 571-272-4887.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Carl S. Milie: Primary Examine